# **DEPARTMENT OF EDUCATION**

## OFFICE OF THE SECRETARY

Statutory Authority: 14 Delaware Code, Section 122(b)(11) (14 **Del.C.** §122(b)(11)) 14 **DE Admin. Code** 252

## **PROPOSED**

#### **PUBLIC NOTICE**

**Education Impact Analysis Pursuant To 14 Del.C. Section 122(d)** 

252 Required Educational Records and Transfer and Maintenance of Educational Records

#### A. TYPE OF REGULATORY ACTION REQUIRED

Amendment to Existing Regulation

### **B. SYNOPSIS OF SUBJECT MATTER OF THE REGULATION**

Pursuant to 14 **Del.C.** §122(b)(11), the Secretary of Education intends to amend 14 **DE Admin. Code** 252 Required Educational Records and Transfer and Maintenance of Educational Records. The Department has reviewed the regulation in order to comply with 29 **Del.C.** §10407 which requires regulations to be reviewed on a recurring basis every four years. Upon such review the Department concluded that some definitions need to be added or updated and that provisions for student records upon the closure of a charter school pursuant to 14 **Del.C.** §515(i) needed to be included in the regulation. Additionally, the regulation was updated to comply with the *Delaware Administrative Code Drafting and Style Manual*.

Persons wishing to present their views regarding this matter may do so in writing by the close of business on or before September 3, 2020 to Department of Education, Office of the Secretary, Attn: Regulation Review, 401 Federal Street, Suite 2, Dover, Delaware 19901 or email to <a href="mailto:DOEregulations.comment@doe.k12.de.us">DOEregulations.comment@doe.k12.de.us</a>. A copy of this regulation may be viewed online at the Registrar of Regulation's website, <a href="http://regulations.delaware.gov/services/current\_issue.shtml">http://regulations.delaware.gov/services/current\_issue.shtml</a>, or obtained at the Department of Education, located at the address listed above.

## C. IMPACT CRITERIA

- 1. Will the amended regulation help improve student achievement as measured against state achievement standards? The amended regulation does not specifically address the improvement of student achievement as measured against state achievement standards.
- 2. Will the amended regulation help ensure that all students receive an equitable education? The amended regulation will help ensure all students receive an equitable education.
- 3. Will the amended regulation help to ensure that all students' health and safety are adequately protected? The amended regulation will help ensure all students' health and safety are adequately protected.
- 4. Will the amended regulation help to ensure that all students' legal rights are respected? The amended regulation continues to help ensure that all students' legal rights are respected.
- 5. Will the amended regulation preserve the necessary authority and flexibility of decision making at the local board and school level? The amended regulation does not change the decision making at the local board and school level.
- 6. Will the amended regulation place unnecessary reporting or administrative requirements or mandates upon decision makers at the local board and school levels? The amended regulation does not place any unnecessary reporting or administrative requirements or mandates on decision makers.
- 7. Will the decision making authority and accountability for addressing the subject to be regulated be placed in the same entity? The amended regulation does not change the decision making authority and accountability for addressing the subject to be regulated.
- 8. Will the amended regulation be consistent with and not an impediment to the implementation of other state educational policies, in particular to state educational policies addressing achievement in the core academic subjects of mathematics, science, language arts and social studies? The amended regulation is consistent with and not an impediment to the implementation of other state educational policies.
- 9. Is there a less burdensome method for addressing the purpose of the regulation? There is no less burdensome method for addressing the purpose of the amended regulation.
- 10. What is the cost to the State and to the local school boards of compliance with the regulation? There are no additional material costs to implementing this amended regulation.

#### 1.0 Definitions

The following words and terms, when used in this regulation, shall have the following meaning unless the context clearly states otherwise:

"Court Orders" means any written direction from a court of competent jurisdiction directed to the student or affecting the student's care or custody.

<u>"Cumulative Record File"</u> means a file containing the Emergency/Nursing Treatment Card, Identifying Data, School Health Record, Progress Report, Student Transcript (for students in grades 9 and above), and <u>Discipline Record.</u>

"Delaware School Health Record" means the form required by 14 DE Admin Code 811 for Delaware public school students.

"Discipline Record" means information about any and all periods of suspension or of expulsion from the regular school setting imposed on a student as a result of an infraction of the school or district's code of conduct or other rules.

"Emergency/Nursing Treatment Card" means the card containing the general emergency information and procedures for the care of a student when the student becomes sick or injured in school as required in 14 **DE** Admin. Code 811.

"Emergency/Nursing Treatment Card" means a form containing contact information and general school emergency procedures for the care of a student who becomes sick or injured at school. The card contains the following information: the student's name, birth date, school district, school, grade or class assignment, home address, and telephone number; the name, place of employment and work telephone number of the parent, guardian or relative caregiver; two other names, addresses, and telephone numbers of individuals who can be contacted at times when the parent, guardian or relative caregiver cannot be reached; the name and telephone number of the primary health care provider and family dentist; any medical conditions or allergies the student has; and the student's medical insurance.

"Identifying Data" means the name of the student, date of birth, sex, race and ethnicity, address, telephone number, Delaware student identification number and the name of the parent(s), guardian(s) or Relative Caregiver. parents, guardians, or relative caregivers.

"Progress Report" means a single record maintained for each student in kindergarten through grade 8 that contains end of year and up to date grades; standardized test(s) tests scores such as the state student assessment; and attendance data for each year of the student's attendance.

**"Public School**" means a school or charter school having any or all of grades kindergarten through twelve, grade 12, supported primarily from public funds and under the supervision of public school administrators.

"Student Transcript" means a single record maintained for each student in grades 9 and above that contains the following: end of year and up to date grades; credits earned; class rank; Grade Point Average (GPA); withdrawal or graduation date; standardized test(s) tests scores such as the state student assessment, SAT, PSAT, ACT; attendance data and school activities. If applicable, a list of the career technical competencies achieved by a student enrolled in a specific career technical program shall also be included.

13 DE Reg. 1075 (02/01/10) 19 DE Reg. 618 (01/01/16)

## 2.0 Education Records Required by Schools in Delaware

- 2.1 Each Delaware school shall maintain a Cumulative Record File either as an electronic or paper file for each student enrolled.
  - 2.1.1 The student Cumulative Record File shall contain the Emergency/Nursing Treatment Card, Identifying Data, School Health Record, Progress Report, Student Transcript (for students in grades 9 and above) and Discipline Record.
  - 2.1.2 The student Cumulative Record File shall also contain any Court Orders in the school or district's possession, and;
  - 2.1.3 The Cumulative Record File for a child with a disability as defined in 14 **DE Admin Code** 925 or for a child identified under Section 504 shall contain any records related to the identification, evaluation, placement, and provision of a free appropriate public education. Such documents may be collected and maintained separately.

13 DE Reg. 1075 (02/01/10) 19 DE Reg. 618 (01/01/16)

- 3.1 When a student transfers from a public school, private school or an educational program operated by the Department of Services for Children, Youth and Their Families to any other school in Delaware, the receiving school shall immediately request the Cumulative Record File from the sending school or program.
- 3.2 The Cumulative Record File shall follow each student transferred from one school to another including files for each student with disabilities transferred from one school to another.
  - 3.2.1 Public schools, school districts, private schools and educational programs operated by the Department of Services for Children, Youth and Their Families shall promptly transfer a student's Cumulative Record File upon the request of a receiving school.
    - 3.2.1.1 Public schools and school districts shall maintain the original Cumulative Record File and provide a copy of the file when students transfer to a private school or educational program operated by the Department of Services for Children, Youth and Their Families.
    - 3.2.1.2 Public schools and school districts shall provide the original Cumulative Record File when transferring records to another public school.
  - 3.2.2 Unpaid student fees or fines shall not be a basis for a public school, school district or an educational program operated by the Department of Services for Children, Youth and Their Families to deny or to delay transfer of the Cumulative Record File.
  - 3.2.3 Students shall not be denied enrollment into a public school on the grounds that the student's Cumulative Record File has not been received.
- 3.3 Before transferring student records, a public school, school district or private school shall specifically confirm that the Cumulative Record File contains the student's Discipline Record.
- 3.4 When students transfer to a Delaware school from any other school including a school in a foreign country country, the receiving school is responsible for having the transcripts evaluated.
- 3.5 Upon the closure of a charter school, the student's Cumulative Record File shall be forwarded to the receiving school in accordance with charter school closure protocol as outlined in 14 **Del.C.** §512(16) and §515(i).

19 DE Reg. 618 (01/01/16)

#### 4.0 Maintenance of the Education Records of Public Schools

- 4.1 The *Delaware School District General Records Retention Schedule* published by the Delaware Public Archives shall be followed as to the length of time and special considerations for the maintenance of education records.
- 4.2 Contracts for storage of student records of graduates, withdrawals and special education students shall be initiated between the school district or charter school and the Delaware Public Archives.
- 4.3 The Cumulative Record Files for students who have graduated from or who left school prior to graduation from high school shall be stored at the school or district of last attendance or in the Delaware Public Archives.

13 DE Reg. 1075 (02/01/10) 19 DE Reg. 618 (01/01/16)

### 5.0 Destruction of Education Records of Public Schools

- 5.1 The *Delaware School District General Records Retention Schedule* published by the Delaware Public Archives shall be followed as to the length of time and special considerations for the destruction of any education records.
- The destruction of educational records of children with disabilities shall also comply with the requirements of 14 **DE Admin. Code** 927.

8 DE Reg. 1112 (02/01/05) 13 DE Reg. 1075 (02/01/10) 19 DE Reg. 618 (01/01/16) 24 DE Reg. 97 (08/01/20) (Prop.)